

Dieter W. Pohl: Publications and Patents

A) All Journal and Book Publications

The publications of the time 1968 – 98 at IBM are grouped in the sections NFO, Instruments, AFM-, STM-, and Miscellaneous. The later publications are in temporal order (newest → oldest).

B) Patents

A) All Journal and Book Publications

121 **Optics at the Nanometer Scale**

D.W. Pohl

in "Nano-Optics and Near-Field Optical Microscopy" by A. Zayats and D. Richards (Edtrs.), Artech House Series Nanoscale Science and Engineering (Boston London 2009)

120 **From Near-Field Optics to Optical Antennas**

D. W.Pohl

in "Optical Antennas" by M. Agio and A. Alu (Edtrs.), Cambridge University Press (2013).

119 **Stacked optical antennas**

D. W. Pohl, S. G. Rodrigo and L. Novotny,
Applied Physics Letters 98, 023111 (2011)

----- Basel publications -----

118 **A simple method for producing flattened atomic force microscopy tips**

P. Biagioni, J. N. Farahani, P. Mühlischlegel, H.-J. Eisler, D. W. Pohl, and B. Hecht,
Rev. Scient. Instr. 79, 016103 (2008)

117 **Bow-tie optical antenna probes for single-emitter scanning near-field optical microscopy**

J.N. Farahani, H.-J. Eisler, D.W. Pohl, M. Pavius, Ph. Flückiger, Ph. Gasser and B. Hecht,
Nanotechn. 18, 125506 (2007)

116 **Vacuum ion emission from solid electrolytes: An alternative source for focused ion beams**

C. Escher, S. Thomann, C. Andreoli, H.-W. Fink, J. Toquant and D. W. Pohl,
Appl. Phys. Lett. 89, 053513 (2006)

115 **Direct Evidence for Conduction Pathways in a Solid Electrolyte**

C. Escher, T. Latychevskaia, H.-W. Fink, and D. W. Pohl,
Phys. Rev. Lett. 97, 136601 (2006)

114 **Single Quantum Dot Coupled to a Scanning Optical Antenna: A Tunable Superemitter**

J.N. Farahani, D. W. Pohl, H.-J. Eisler and B. Hecht,
Phys. Rev. Lett. 95, 017402 (2005)

- 113 **Resonant Optical Antennas**
P. Muehlschlegel, H.-J. Eisler, O.J.F. Martin, B. Hecht and D.W. Pohl,
Science 308, 1607 (2005)
- 112 **Near field optics and the surface plasmon polariton**
D. W. Pohl,
in "Near-Field Optics and Surface Plasmon Polaritons", EDITOR S. Kawata, Series "Topics in Appl. Physics", Vol.81,p.1 -14, (Springer, ISBN 0303-4216, Berlin), 2001
- 111 **Near field optics seen as an antenna problem**
D. W. Pohl,
in "Near-Field Optics: Principles and Applications / The Second Asia-Pacific Workshop on Near Field Optics, Beijing, China October 20 -23, 1999", EDITOR M. Ohtsu and X. Zhu, (World Scientific, ISBN 981-02-4365-0, Singapore,p.9 - 21), 2000
- 110 **Method and apparatus for the controlled conditioning of scanning probes**
D.W. Pohl and A. Bouhelier,
European Patent Application EP 1 146 376 A1, 2001
- 109 **Electrolytic formation of nanoapertures for scanning near-field optical microscopy**
A. Bouhelier, J. Toquant, H. Tamaru, H.-J. Güntherodt, D.W. Pohl and G. Schider,
Appl.Phys.Lett 79, 683, 2001
- 108 **Propagation and diffraction of locally excited Plasmons: Optics of structured Silver Films,**
F.I. Baida, D. Van Labeke, A. Bouhelier, Th. Huser, H. Tamaru and D.W. Pohl,
J. Opt. Soc. Am. A 18, 1552, 2001
- 107 **Plasmon Optics of structured Silver Films**
A. Bouhelier, Th. Huser, H. Tamaru, H.-J. Güntherodt, D.W. Pohl, F.I. Baida and D. Van Labeke,
Phys.Rev.B, 63, 155404-1, 2001
- 106 **Plasmon transmissivity and reflectivity of narrow grooves in a silver film**
A. Bouhelier, Th. Huser, J.M. Freiland, H.J. Güntherodt & D.W. Pohl
J. Microscopy 194, Pt 2/3, pp. 571 – 573 (1999).
- 105 **Influence of detection conditions on near-field optical imaging**
B. Hecht, H. Bielefeldt, D. W. Pohl, L. Novotny, and H. Heinzelmann
J. Appl. Phys. 84, 5873 (1998); doi: 10.1063/1.368902
- 104 **Implications of high resolution to near-field optical microscopy**
Lukas Novotny, Bert Hecht, Dieter W. Pohl
Ultramicroscopy 71, 341 — 344 (1998)

----- **IBM publications** -----

----- **NFO / Plasmonics** -----

- 103 **Optical Microscopy in the Nano-World**
D.W. Pohl et al.
Chimia 51 (1997) 760-767
- 102 **Interference of Locally Excited Surface Plasmons**
L. Novotny, B. Hecht, and D.W. Pohl,
J. Appl.Phys. 81(4), 1798-1806 (1997).
- 101 **Facts and Artifacts in Near-Field Optical Microscopy**
B. Hecht, H. Bielefeldt, L. Novotny, Y. Inouye and D.W. Pohl,
J. Appl.Phys. 81(6), 2492-2498 (1997).
- 100 **Local Excitation, Scattering, and Interference of Surface Plasmons**
B. Hecht, H. Bielefeldt, L. Novotny, Y. Inouye and D.W. Pohl
Phys.Rev.Lett. 77(9), 1889-1892 (1996).
- 99 **'Tunnel' Near-Field Optical Microscopy: TNOM-2**
B. Hecht, D.W. Pohl, H. Heinzelmann and L. Novotny,
Ultramicroscopy, 61(1-4), 99-104 (1996).
- 98 **Light Confinement in Scanning Near-Field Optical Microscopy**
L. Novotny, D.W. Pohl and B. Hecht,
Ultramicroscopy 61(1-4), 1-9 (1996).
- 97 **Radiation Coupling and Image Formation in Scanning Near-Field Optical Microscopy**
D.W. Pohl, L. Novotny, B. Hecht and H. Heinzelmann,
Thin Solid Films 273, 161-167 (1996).
- 96 **Local Excitation of Surface Plasmons by 'TNOM'**
B. Hecht, D.W. Pohl and L. Novotny,
in Optics at the Nanometer Scale, NATO ARW on Near-Field Optics: Recent Progress and Perspectives, Madrid, Spain, Sept.11-15, 1995, edited by M. Nieto--Vesperinas and N. Garcia (Kluwer,Dordrecht, 1996) pp.151-161.
- 95 **Scanning Near-Field Optical Microscopy in Basel, Rüschlikon, and Zürich**
H. Heinzelmann, T. Huser, T. Lacoste, H.-J. Güntherodt, D.W. Pohl, B. Hecht, L. Novotny, O.J.F. Martin, C.V. Hafner, H. Baggenstos, U.P. Wild and A. Renn,
Optical Eng. 34(8), 2441-2454 (1995).
- 94 **Near-Field Optics: Light for the World of Nano-Scale Science**
D.W. Pohl,
Thin Solid Films 264(4), 250-254 (1995).
- 93 **Scanning Near-Field Optical Probe with Ultrasmall Spot Size**
L. Novotny, D.W. Pohl and B. Hecht,
Optics Lett. 20(9), 970-972 (1995).
- 92 **Forbidden Light Scanning Near-Field Optical Microscopy**
H. Heinzelmann, B. Hecht, L. Novotny and D.W. Pohl,

J. Microscopy 177, Pt.2, 115-118 (1995).

- 91 **Combined Aperture SNOM/PSTM: Best of Both Worlds?**
B. Hecht, H. Heinzelmann and D.W. Pohl,
Ultramicroscopy 57(2/3), 228-234 (1995).
- 90 **Near-Field, Far-Field and Imaging Properties of the 2D Aperture SNOM**
L. Novotny, D.W. Pohl and P. Regli,
Ultramicroscopy 57(2/3), 180-188 (1995).
- 89 **Resolving Near-Field Microscopy History**
D.W. Pohl, U. Dürig and P. Guéret,
Physics Today 48(1), 74-75 (1995).
- 88 **Near-Field Optical Spectroscopy of Individual Molecules in Solids**
W.E. Moerner, T. Plakhotnik, T. Irngartinger, U.P. Wild, D.W. Pohl and B. Hecht,
Phys.Rev.Lett. 73(20), 2764-2767 (1994).
- 87 **Scanning Near-Field Optical Microscopy**
H. Heinzelmann and D.W. Pohl,
Appl.Phys.A 59(2), 89-101 (1994).
- 86 **Near-Field Optics: Light for the World of NANO**
D.W. Pohl and L. Novotny,
J. Vac.Sci.Technol.B 12(3), 1441-1446 (1994).
- 85 **Light Propagation through Nanometer-Sized Structures: The Two-Dimensional-Aperture Scanning Near-Field Optical Microscope**
L. Novotny, D.W. Pohl and P. Regli,
J. Opt.Soc.Am.A 11(6), 1768-1779 (1994).
- 84 **The 90° prism edge as a model snom probe - near-field, photon tunneling, and far field properties**
A. Dereux and D.W.Pohl
in *Proc.NATO ARW on Near Field Optics (SNOM), Besancon, France, Oct.26-28, 1992,*
edited by D.W. Pohl and D. Courjon (Kluwer, Dordrecht,1993) pp.189 - 198
- 83 **Optical Tunneling through an Adjustable Liquid Metal Gap**
D.W. Pohl, D. Courjon, C. Bainier, A. Dereux and H. Heinzelmann,
in *Proc.NATO ARW on Near Field Optics (SNOM), Besancon, France, Oct.26-28, 1992,*
edited by D.W. Pohl and D. Courjon (Kluwer, Dordrecht,1993) pp.51-58.
- 82 **Near Field Optics**
D. W. Pohl and D. Courjon (Edtrs.)
Proc. of the NATO Advanced Research Workshop on Near Field Optics, NATO ASI Series E
242, Kluwer Academic Publishers, Dordrecht 1993.
- 81 **Near-Field Optics: Microscopy with Nanometer--Size Fields**
W. Denk and D.W. Pohl,

- J. Vac. Sci. Technol. B* 9(2), 510-513 (1991).
- 80 **Scanning Near-Field Optical Microscopy (SNOM)**
D.W. Pohl,
Advances in Optical and Electron Microscopy 12, 243-312 (1991).
- 79 **Scanning Near-Field Microscopies**
W. D. Pohl and J. K. Gimzewski
SPIE 1319, 480 "Optics in Complex Systems" (1990).
- 78 **Scanning Near Field Optical Microscopy (SNOM) in Reflection or Scanning Optical Tunneling Microscopy (SOTM)**
U. Ch. Fischer, D.W. Pohl, and U.T. Dürig,
Scanning Microscopy 3(1), 1-7 (1989).
- 77 **Observation of Single--Particle Plasmons by Near-Field Optical Microscopy**
U.Ch. Fischer and D.W. Pohl,
Phys.Rev.Lett. 62(4), 458-461 (1989).
- 76 **Scanning Near-Field Optical Microscopy (SNOM)**
D.W. Pohl, U. Ch. Fischer and U. Dürig,
J. Microscopy 152, Pt 3, 853-861 (1988).
- 75 **Scanning near-field microscopy (SNOM): Basic principles and some recent developments"**
D.W. Pohl, U.Ch. Fischer and U. Dürig,
SPIE 897, "Scanning Microscopies Techniques and Applications", pp. 84 - 90.
- 74 **Near-Field Optical Scanning Microscopy in Reflection**
D.W. Pohl, U.Ch. Fischer and U. Dürig,
Appl.Phys.Lett. 52(4), 249-251 (1988).
- 73 **Near-Field Optical Scanning Microscopy and Enhanced Spectroscopy with Submicron Apertures**
D.W. Pohl, U.Ch. Fischer and U. Dürig,
Scanning Microscopy, Suppl. 1, 47-52 (1987).
- 72 **Near-Field Optical Scanning Microscopy with Tunnel—Distance Regulation**
U. Dürig, D.W. Pohl and F. Rohner,
IBM J. Res.Develop. 30(5), 478-483 (1986).
- 71 **Near-Field Optical Scanning Microscopy**
U. Dürig, D.W. Pohl and F. Rohner,
J. Appl.Phys. 59(10), 3318-3327 (1986).
- 70 **Optical Stethoscopy: Imaging with $\lambda/20$**
D.W. Pohl, W. Denk and U. Dürig,
in Micron and Submicron Integrated Circuit Metrology, Proc. SPIE, San Diego, CA, Aug.22-23, 1985, edited by K.M. Monahan, (SPIE, Bellingham, 1985) Vol.565, pp.56-61.
- 69 **Optical Stethoscopy: Image Recording with Resolution $\lambda/20$**

D.W. Pohl, W. Denk and M. Lanz,
Appl.Phys.Lett.44(7), 651-653 (1984).

- 68 **Optical Near-field scanning microscope**
Wolfgang D. Pohl,
European Patent EP82111974.0 (Dec.27,1982), US Patent 4,604,520 (Dec.20, 1983)

----- *instrumental* -----

- 67 **Instrumental Developments and Recent Experiments in Near-Field Optical Microscopy**
H. Heinzelmann, Th. Lacoste, Th. Huser, H.J. Güntherodt, B. Hecht and D.W. Pohl,
Thin Solid Films 273, 149-153 (1996).

- 66 **Some Thoughts about Scanning Probe Microscopy, Micromechanics, and Storage**
D.W. Pohl,
IBM Res.Develop.39(6), 701-711 (1995).

- 65 **Sawtooth Nanometer Slider: A Versatile Low--Voltage Piezoelectric Translation Device**
D.W. Pohl,
Surf.Sci.(Special Issue) 181(1/2), 174-175 (1987).

- 64 **Dynamic Piezoelectric Translation Device**
D.W. Pohl,
Rev. Sci. Instrum. 58(1), 54-57 (1987).

- 63 **Some Design Criteria in Scanning Tunneling Microscopy**
D.W. Pohl,
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----- *AFM related* -----

- 62 **Local Electric Dissipation Imaged by Scanning Force Microscopy**
W. Denk and D.W. Pohl,
Appl.Phys.Lett.59(17), 2171-2173 (1991).

- 61 **Observation of Metallic Adhesion Using the Scanning Tunneling Microscope**
U. Dürig, O. Züger and D.W. Pohl,
Phys.Rev.Lett.65(3), 349-352 (1990).

- 60 **Force Sensing in Scanning Tunneling Microscopy: Observation of Adhesion Forces on Clean Metal Surfaces**
U. Dürig, O. Züger and D.W. Pohl,
J. Microscopy 152, Pt. 1, 259-267 (1988).

- 59 **Experimental Observation of Forces Acting during Scanning Tunneling Microscopy**
U. Dürig, J.K. Gimzewski and D.W. Pohl,
Phys.Rev.Lett.57(19), 2403-2406 (1986).

----- *STM related* -----

- 58 **'Tracking' Tunneling Microscopy**
D.W. Pohl and R. Möller,
Rev.Sci.Instrum. 59(6), 840-842 (1988).
- 57 **Transition from Tunneling to Point Contact Investigated by Scanning Tunneling Microscopy and Spectroscopy**
J.K. Gimzewski, R. Moeller, D.W. Pohl and R.R. Schlittler,
Surf.Sci.(Special Issue) 189/190, 15-23 (1987).
- 56 **Scanning Tunneling Microscopy and Potentiometry on a Semiconductor Heterojunction**
P. Muralt, H. Meier, D.W. Pohl and H. Salemink
Appl.Phys.Lett. 50(19), 1352-1354 (1987).
- 55 **Wide--Range, Low--Operating--Voltage, Bimorph STM: Application as Potentiometer**
P. Muralt, D.W. Pohl and W. Denk,
IBM J. Res. Develop. 30(5), 443-450 (1986).
- 54 **Scanning Tunneling Microscopy of Nanocrystalline Silicon Surfaces**
D.W. Pohl, J.K. Gimzewski, A. Humbert and S. Veprek,
Surf.Sci. 168(1/3), 795-800 (1986).
- 53 **Scanning Tunneling Potentiometry**
P. Muralt and D.W. Pohl,
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- 52 **Surface Topography Studies of Nanocrystalline Si by STM**
D.W. Pohl, J.K. Gimzewski, A. Humbert and S. Veprek,
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----- *Miscellaneous* -----

- 51 **Photons and Forces II: Forces Influence Light**
D. W. Pohl
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- 50 **Photons and Forces I: light generates force**
D. W. Pohl
in Proc.NATO ASI on „Forces in Scanning Probe Methods,“ Schluchsee, Germany, March 7-18, 1994, edited by H.-J. Güntherodt et al.(Kluwer, Dordrecht, 1995) pp.235-248.
- 49 **Laser--Induced Dynamic Gratings**
H.J. Eichler, P. Günter and D.W. Pohl,
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- 48 **Critical Behavior in Gels Saturated with Binary Liquid Mixtures**
D.W. Pohl, J.V. Maher, W.I. Goldburg and M. Lanz,

- Phys.Rev.Lett.53(1), 60-63 (1984).*
- 47 **All--Glass Vacuum--Insulated Liquid--Nitrogen Cooled CO Laser**
E. Haupt, V. Irniger, D.W. Pohl and W. Herrmann,
Rev.Sci.Instrum.53(9), 1374-1375 (1982).
- 46 **Wetting Transition in Lutidine--Water Mixtures**
D.W. Pohl and W.I. Goldburg,
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- 45 **Forced Rayleigh Scattering in a Critical Binary Liquid Mixture**
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- 44 **First Stage of Spinodal Decomposition Observed by Forced Rayleigh Scattering**
D.W. Pohl,
Phys.Lett.77A(1), 53-54 (1980).
- 43 **Trace Analysis in Gases by Laser-Induced Schlieren Technique**
W. Herrmann and D.W. Pohl,
Infrared Physics 19, 455-459 (1979).
- 42 **Forced Rayleigh Scattering**
D.W. Pohl,
IBM J. Res.Develop.23(5), 604-614 (1979).
- 41 **Anomalies in the Forced Rayleigh Scattering in Glasses**
D.W. Pohl,
Phys.Rev.Lett.43(2), 143-146 (1979).
- 40 **Spurenanalyse in Gasen mit laserinduzierter Schlierentechnik(Traced Analysis in Gases with a Laser--Induced Schlieren Technique)**
W. Herrmann and D.W. Pohl,
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- 39 **Forced Rayleigh Scattering**
D.W. Pohl,
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- 38 **Spectrophonic Humidity--Tracer Detection in Hydrogen and Air**
D.W. Pohl, V. Irniger and W. Herrmann,
Appl.Phys.17(4), 361-365 (1978).
- 37 **Forced Thermal Scattering as a Tool for the Study of Excitations in the Hydrodynamic Regime**
D.W. Pohl,
in Lattice Dynamics, edited by M. Balkanski, (Flammarion, Paris, 1978), pp.782-784.
- 36 **A Note on the Polarization of Light Scattered from Entropy and LA Fluctuations in Solids**

- D.W. Pohl,
Solid State Commun. 23(7), 447-451 (1977).
- 35 **Valence Transition of Sm_{1-x}L_xS and Related Compounds**
D.W. Pohl,
Phys.Rev.B 15(8), 3855-3862 (1977).
- 34 **Falicov--Kimbal Modell für Sm_{1-x}R_xS (R = Seltene Erde oder Übergangsmetall (Falicov--Kimbal Model for Sm_{1-x}R_xS (R = Rare Earth or Transition Metal)**
D.W. Pohl,
Helv.Phys.Acta 50(2), 158 (1977).
- 33 **Birefringence in the Surface Layer of Cubic BaTiO₃**
U.T. Höchli and D.W. Pohl,
Ferroelectrics 13(1-4), 403-405 (1976).
- 32 **Multiphonon Absorption in Alkalihalides**
D.W. Pohl and H. Beck,
in Phonon Scattering in Solids, edited by L.J. Challis, V.W. Rampton, and A.F.G. Wyatt (Plenum, New York, 1976) pp.361-363.
- 31 **Optical and Electrical Properties of Metallic SmS Films**
D.W. Pohl, R. Jaggi, K. Gisler and H. Weibel,
Solid State Commun. 17(6), 705-708 (1975).
- 30 **Laser Writing--Reading with SmS Thin Films**
D.W. Pohl and F. Holtzberg,
Appl.Opt. 14(5), 1060-1061 (1975).
- 29 **Multiphonon--Absorption in NaF**
D.W. Pohl, P.F. Meier and T.F. McNelly,
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- 28 **Forced Rayleigh Scattering**
D.W. Pohl, S.E. Schwarz and V. Irniger,
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- 27 **Multiphonon Optical Spectrum of NaF**
T.F. McNelly and D.W. Pohl,
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- 26 **Spektroskopie von SmS in der Nähe der druckinduzierten Phasen—Umwandlung (Spectroscopy of SmS near Pressure—Induced Phase Transformation)**
D.W. Pohl and K. Gisler,
Verhandl.DPG VI(9), 600 (1974).
- 25 **Optische Datenspeicherung in Samariumsulfid (Optical Data Storage in Samariumsulfide)**
D.W. Pohl and K. Gisler,
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- 24 **Multiphonon--Absorption in NaF**
D.W. Pohl and P.F. Meier,
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- 23 **Stacked Optical Memories**
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- 22 **Laser-Induced Phase Transition in the Surface of SmS Crystals**
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- 21 **Multiphonon Absorption in NaF**
D.W. Pohl and P.F. Meier,
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- 20 **Laser--induzierte Phasenuebergaenge in der Oberfläche von SmS (Laser--Induced Phase Transitions in an SmS Surface)**
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- 19 **Forced Rayleigh Scattering**
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- 18 **Thermo-- and Elasto--Optic Parameters of NaF and Their Implications for Light Scattering from Second Sound**
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- 17 **Generation and Application of TE and TM Modes at Optical Frequencies**
D.W. Pohl,
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- 16 **Induced Birefringence and Longitudinal Field Components: Influence on Nonlinear Light Propagation**
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Proc.6th USSR Symp.on Nonlinear Optics, Minsk,June 27- July 1, 1972, pp.230.
- 15 **TE and TM Modes at Optical Frequencies: Generation and Self--Focusing**
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Digest of Technical Papers, IEEE Catalog No.72 CHO-603-1-QECON, Abstract No.0.6, pp.70.
- 14 **Self--Focusing of TE₀₁ and TM₀₁ Light Beams: Influence of Longitudinal Field Components**
D.W. Pohl,
Phys.Rev.A 5(4), 1906-1909 (1972).
- 13 **Operation of a Ruby Laser in the Purely Transverse Electric Mode TE₀₁**

- D.W. Pohl,
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- 12 **Stimulated Thermal Scattering of Light**
D.W. Pohl, I.P. Batra and R.H. Enns
Phys.State Sol.(b) 48(11), 11-63 (1971).
- 11 **Vectorial Theory of Self-Trapped Light Beams**
D.W. Pohl
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- 10 **Pikosekunden--Lichtimpulse (Picosecond Light Pulses)(1970), S. 3-7.**
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Vorabdrucke der Fachberichte (Nachträge), 35. Physikertagung 1970 Hannover, B.G.
Teubner, Stuttgart
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- Munich publications*
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D.W. Pohl and W. Kaiser,
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Phys.Rev.Lett. 23(13), 711-714 (1969).
- 7 **Time and Frequency Dependence of Stimulated Thermal Rayleigh Scattering**
W. Rother, D.W. Pohl and W. Kaiser,
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- 6 **Experimental Observation of Stimulated Thermal Brillouin Scattering**
D.W. Pohl, I. Reinhold and W. Kaiser,
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- 5 **PHONON LIFETIMES MEASURED IN AMPLIFIERS FOR BRILLOUIN RADIATION**
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- 2 **A New Q--Switch Technique Using Stimulated Brillouin Scattering**
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- 1 **Quantitative Analysis of Single--Mode--Operation of a Solid--State Laser**
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B) Patents

- 20 - **STACKED OPTICAL ANTENNA STRUCTURES, METHODS AND APPLICATIONS**
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US 2012/0040127 A1 *Aug. 12, 2011*
- 19 - **Method and apparatus for the controlted conditioning of scanning probes**
Pohl, Dieter W., Adliswil (CH); Bouhelier, Alexandre Basel (CH)
EP 1 146 376 A1 *Dec. 04.2000*
- 18 - **DISTANCE-CONTROLLED TUNNELING TRANSDUCER AND DIRECT ACCESS STORAGE UNIT EMPLOYING THE TRANSDUCER**
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